

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian Kenneth Shepherd

Appl. No.: 10/615,796

Filed: July 10, 2003

For: **Methods and Apparatus Relating  
to the Formulation and Trading of  
Investment Contracts**

Confirmation No.: 1916

Art Unit: 3624

Examiner: To be assigned

Atty. Docket No. 2151.0050002

**Second Reply to Notice of Non-Compliant Amendment**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submits the following Reply and Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.